

EXHIBIT 3 - FORMS REQUIRED FOR SUBMISSION WITH PROPOSAL

TABLE 1 – GENERAL INFORMATION				
Organization Doing Business As:				
Business Address of Principle Office:				
Main Telephone Number:				
Fax Number:				
Web Site Address:				
Federal Tax Identification Number:				
MBE Status - Yes or No:				
Form of Business (check one):	<input type="checkbox"/> Corporation	<input type="checkbox"/> Partnership	<input type="checkbox"/> Individual	<input type="checkbox"/> Joint Venture
IF A CORPORATION				
Date of Incorporation:				
State of Incorporation:				
Chief Executive Manager's Name:				
President's Name:				
Vice President's Name(s):				
Secretary's Name:				
Treasurer's Name:				
IF A PARTNERSHIP				
Date of Organization:				
General or Limited Partnership?:				
IF AN INDIVIDUAL				
Name:				
Business Address:				
IF A JOINT VENTURE				
Name of Lead Joint Venture Manager:				
Name of Firm:				
Joint Venture Partner Manager(s):				
Name of Firm(s):				
Individuals Not Listed Above Having Significant Business Control:				
Indicators of Organization Size:				
Current Number Full Time Employees:		Estimate of Current Year's Revenue:		
Average Number of Projects per Year:		Average Project Construction Cost:		

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TABLE 2 – ORGANIZATIONAL EXPERIENCE			
Organization Doing Business As:			
Business Address of Principle Office:			
Main Telephone Number:			
Fax Number:			
Web Site Address:			
Organization Doing Business As:			
ORGANIZATIONAL HISTORY			
List of names that this organization has operated under over the history of the organization, including the names of related companies presently doing business:			
Names of Organization:	From Date	To Date	
List of companies, firms or organizations that own any part of the organization.			
Name of Companies, Firms or Organization:	Percent Ownership		
CONSTRUCTION EXPERIENCE			
1.	Years' experience in projects similar to the proposed project:		
	As a General Contractor:		As a Joint Venture Partner:
2.	Has this or a predecessor organization ever defaulted on a project or failed to complete any work awarded to it? If yes provide full details in a separate attachment. (Attachment #_____)		
3.	Has this or a predecessor organization been released from a bid or proposal in the past ten years? If yes provide full details in a separate attachment. (Attachment #_____)		
4.	Has this or a predecessor organization ever been disqualification as a bidder or Offeror by any local, state, or federal agency within the last five (5) years? If yes provide full details in a separate attachment. (Attachment #_____)		
5.	Is this organization or your proposed surety currently in any litigation or contemplating litigation? If yes provide full details in a separate attachment. (Attachment #_____)		
6.	Has this or a predecessor organization ever refused to construct or refused to provide materials defined in the contract documents? If yes provide full details in a separate attachment. (Attachment #_____)		
7.	Has your company, firm, corporation, or business implemented an Employee Health and Safety Program compliant with 29 CFR 1910 "General Industry Standards" https://www.osha.gov/pls/oshaweb/owasrch.search_form?p_doc_type=STANDARDS&p_toc_level=1&p_keyvalue=1910 and/or 29 CFR 1926 "General Construction Standards" https://www.osha.gov/pls/oshaweb/owasrch.search_form?p_doc_type=STANDARDS&p_toc_level=1&p_keyvalue=1926 as they apply to your Company's customary activities?		

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8.	Has your company, firm, corporation, partnership, or institution represented by the company, firm, corporation, partnership, or anyone acting in representation, received citations for violations of OSHA within the past three (3) years? If YES, please provide the following additional information: Date of offense, location of establishment inspected, category of offense, final disposition of offense, if any, and penalty assessed.	
9.	Has your company, firm, corporation, partnership, or institution represented by the company, firm, corporation, partnership, or anyone acting in representation received citations for violations of environmental laws or regulations, of any kind or type, within the past five (5) years? Citations include notice of violation, notice of enforcement, suspension/revocations of state or federal licenses, or registrations, fines assessed, pending criminal complaints, indictments, or convictions, administrative orders, draft orders, final orders, and judicial final judgements. If YES, please provide the following additional information: Date of offense, location of where offense occurred, type of offense, final disposition of offense, if any, and penalty assessed.	
10.	Has your company, firm, corporation, partnership, or institution represented by the company, firm, corporation, partnership, or anyone acting in representation ever been convicted, within the past ten (10) years, of a criminal offense which resulted in a serious bodily injury or death? If YES, please provide the following additional information: Date of offense, location of where offense occurred, type of offense, final disposition of offense, if any, and penalty assessed.	
11..	Has your company filed or been named in any litigation involving your company and the Owner on a contract within the last five (5) years under your current company name or any other company name? If so, provide details of the issues and resolution if available. Include lawsuits where Owner was involved. (Notice: Failure to disclose this information during proposal submission, and later discovered, may result in contract termination at SJRA's option.)	
12.	Please provide a history of all OSHA actions, advisories, etc., Contractor has received on all jobs worked in any capacity, prime, or subcontractor. The history shall be for the two-year period preceding the Bid Date of the Project.	
13.	Please provide a list of all on-the-job injuries, accidents, and fatalities suffered by any present or former employees of Contractor during the same two-year period.	
14.	If less than the two-year period, give the date Contractor started doing business.	
15.	Provide the company Experience Modification Rate (EMR) for the three-year period preceding the Proposal Submission Date of the Project.	

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REFERENCES FORM

Please list three (3) customer references, **other than the San Jacinto River Authority**, who can verify the quality of service your company provides. The SJRA prefers customers of similar size and scope of work to this solicitation.

REFERENCE ONE

GOVERNMENT/COMPANY NAME: _____

LOCATION: _____

CONTACT PERSON AND TITLE: _____

CONTACT PERSON EMAIL: _____

TELEPHONE NUMBER: _____

SCOPE OF WORK: _____

CONTRACT PERIOD: _____

REFERENCE TWO

GOVERNMENT/COMPANY NAME: _____

LOCATION: _____

CONTACT PERSON AND TITLE: _____

CONTACT PERSON EMAIL: _____

TELEPHONE NUMBER: _____

SCOPE OF WORK: _____

CONTRACT PERIOD: _____

REFERENCE THREE

GOVERNMENT/COMPANY NAME: _____

LOCATION: _____

CONTACT PERSON AND TITLE: _____

CONTACT PERSON EMAIL: _____

TELEPHONE NUMBER: _____

SCOPE OF WORK: _____

CONTRACT PERIOD: _____

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SUBMISSION EXCEPTIONS FORM

Any exceptions taken to this solicitation (including any, requirements in the solicitation or scope of work) must be itemized on the lines below. SJRA will not accept any exceptions to the terms and conditions contained in Exhibit "A"- General Terms and Conditions, Supplementary Terms, Conditions, Requirements, TWDB forms, and Project MBE Requirements (if required). Additional pages may be added as needed. If there are no exceptions, sign where indicated at the bottom of the page

Item # Description

The above exceptions (and any additional pages identified) are the ONLY exceptions to the specifications, General Provisions and Terms and Conditions, and/or sample Professional Services Agreement within this solicitation. I understand that the SJRA ***shall not*** accept additional exceptions **produced after final submission of this proposal**. I understand that SJRA may consider any exceptions during the initial evaluation process.

_____	_____	_____
Signature	Company	Date

No Exceptions are taken to this solicitation, the Provisions, Requirements, or Terms and Conditions of the Sample Professional Services Agreement.

_____	_____	_____
Signature	Company	Date

**THIS FORM MUST BE RETURNED WITH THE BID
END OF SECTION**

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SECTION 00 43 13 - OFFEROR'S BOND

THAT WE, _____, as Principal,
(Offeror)
("Offeror"), and the other subscriber hereto, _____, as Surety, do hereby
acknowledge ourselves to be held and firmly bound to the San Jacinto River Authority, a political sub-division of the
State of Texas, in the sum of _____ Dollars
(\$ _____) (an amount equal to five (5) percent of the Total Bid Price, including Cash Allowances and
Alternates, if any, for the payment of which sum, well and truly to be made to the San Jacinto River Authority and its
successors, the Offeror and Surety do bind themselves, their heirs, executors, administrators, successors, and
assigns, jointly and severally.

THE CONDITIONS OF THIS OBLIGATION ARE SUCH THAT:

WHEREAS, the Offeror has submitted on or about this day a proposal offering to perform the following:

(Project Name, Location and Number)
in accordance with the Drawings, Specifications, and terms and conditions related thereto to which reference is
hereby made.

NOW, THEREFORE, if the Offeror's offer as stated in the Section 00 41 00.02 – Proposal Form is accepted
by the San Jacinto River Authority, and the Offeror executes and returns to the San Jacinto River Authority Section
00 52 00 – Tandard Form of Agreement between Owner and Contractor, required by the San Jacinto River Authority,
on the forms prepared by the San Jacinto River Authority, for the Work and also executes and returns the same
number of the Performance, Payment and Maintenance Bonds (such bonds to be executed by a Corporate Surety
authorized by the State Board of Insurance to conduct insurance business in the State of Texas, and having an
underwriting limitation in at least the amount of the bond) and other submittals as required, in connection with the
Work, within the Contract Time, then this obligation shall become null and void; otherwise it is to remain in full force
and effect.

If Offeror is unable to or fails to perform the obligations undertaken herein, the undersigned Offeror and
Surety shall be liable to the San Jacinto River Authority for the full amount of this obligation which is hereby
acknowledged as the amount of damages which will be suffered by the San Jacinto River Authority on account of the
failure of such Offeror to perform such obligations, the actual amount of such damages being difficult to ascertain.

Notices required or permitted hereunder shall be in writing and shall be deemed delivered when actually
received or, if earlier, on the third day following deposit in a United States Postal Service post office or receptacle,
with proper postage affixed (certified mail, return receipt requested), addressed to the respective other Party at the
address prescribed in the Contract documents, or at such other address as the receiving Party may hereafter
prescribe by written notice to the sending Party.

IN WITNESS THEREOF, the Offeror and Surety have signed and sealed this instrument on the respective
dates written below their signatures and have attached current Power of Attorney.

ATTEST, SEAL: (if a corporation)
WITNESS: (if not a corporation)

(Name of Offeror)

By: _____
Name:
Title:

By: _____
Name:
Title:
Date:

ATTEST/SURETY WITNESS: (SEAL)

(Full Name of Surety)

(Address of Surety for Notice)

(Telephone Number of Surety)

By: _____
Name:
Title:
Date:

By: _____
Name:
Title:
Date:

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ATTACHMENT A.4 - CONFLICT OF INTEREST FORM

CONFLICT OF INTEREST QUESTIONNAIRE -

FORM CIQ

For vendor or other person doing business with local governmental entity

This questionnaire reflects changes made to the law by H.B. 23, 84th Leg., Regular Session.

This questionnaire is being filed in accordance with Chapter 176, Local Government Code, by a vendor who has a business relationship as defined by Section 176.001(1-a) with a local governmental entity and the vendor meets requirements under Section 176.006(a).

By law this questionnaire must be filed with the records administrator of the local government entity not later than the 7th business day after the date the vendor becomes aware of facts that require the statement to be filed. *See* Section 176.006(a-1), Local Government Code.

A vendor commits an offense if the vendor knowingly violates Section 176.006, Local Government Code. An offense under this section is a misdemeanor.

1 Name of vendor who has a business relationship with local governmental entity.

2 ☐ Check this box if you are filing an update to a previously filed questionnaire.

(The law requires that you file an updated completed questionnaire with the appropriate filing authority not later than the 7th business day after the date on which you became aware that the originally filed questionnaire was incomplete or inaccurate.)

3 Name of local government officer about whom the information in this section is being disclosed.

Name of Officer

This section, (item 3 including subparts A, B, C & D), must be completed for each officer with whom the vendor has an employment or other business relationship as defined by Section 176.001(1-a), Local Government Code. Attach additional pages to this Form CIQ as necessary.

A. Is the local government officer named in this section receiving or likely to receive taxable income, other than investment income, from the vendor?

☐ Yes ☐ No

B. Is the vendor receiving or likely to receive taxable income, other than investment income, from or at the direction of the local government officer named in this section AND the taxable income is not received from the local governmental entity?

☐ Yes ☐ No

C. Is the filer of this questionnaire employed by a corporation or other business entity with respect to which the local government officer serves as an officer or director, or holds an ownership of one percent or more?

☐ Yes ☐ No

D. Describe each employment or business and family relationship with the local government officer named in this section.

4 ☐ I have no Conflict of Interest to disclose.

5

Signature of vendor doing business with the governmental entity

Date

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FELONY CONVICTION NOTIFICATION

Any person and/or business entity that enters into a contract with the San Jacinto River Authority must give advance notice to the SJRA if any employee or an owner or operator of the business entity has been convicted of a felony. The notice must include a general description of the conduct resulting in the conviction of a felony. The notice must also describe the role that the employee, owner, or operator will perform in executing the contract. The SJRA may require substitution of employees in the performance of the contract.

The SJRA may terminate a contract with a person or business entity if the SJRA determines that the person or business entity failed to give notice as required by this clause, misrepresented the conduct resulting in the conviction, or failed to substitute personnel at SJRA's request.

I, the undersigned agent for the firm named below, certify that the information concerning notification of felony convictions has been reviewed by me and the following information furnished is true to the best of my knowledge.

Authorized Company Official's Name (Printed)

Date

A. My firm is not owned or operated by anyone who has been convicted of a felony nor does it have any employees who have been convicted of a felony:

Signature of Company Official

Date

B. My firm has employee(s) or is owned or operated by the following individual(s) who has/have been convicted of a felony:

Signature of Company Official

Date

C. Provide a general description of the conduct resulting in the conviction of a felony.

Signature of Company Official

Date

D. Describe the role that the person(s) convicted of a felony will play in the performance of the contract.

Signature of Company Official

Date

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